

ABSTRACT ALGEBRA MODULUS

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Undergraduate abstract algebra is usually focused on three topics: Group Theory, Ring Theory, and Field Theory. Of the myriad of text books on the subject, the following references will be used:

[D] John R. Durbin, *Modern Algebra*, Fourth Edition, Wiley & Sons, New York, NY, 2000 (ISBN 0-471-32147-8).

[GG] J. Gilbert and L. Gilbert, *Elements of Modern Algebra*, Fifth Edition, Brooks/Cole, Pacific Grove, CA, 2000 (ISBN 0-534-37351-8).

[R] J. J. Rotman, *A First Course in Abstract Algebra*, Second Edition, Prentice Hall, Upper Saddle River, NJ, 2000 (ISBN 0-13-011584-3).

These notes are intended for mathematics students as a compact summary of undergraduate abstract algebra.

1. FUNDAMENTALS

The symbols $\mathbb{N}, \mathbb{Z}, \mathbb{Q}, \mathbb{R}, \mathbb{C}$ denote the set of all positive integers, all integers, all rational numbers, all real numbers, and all complex numbers, respectively. You are familiar with mathematical induction, with the fact that \mathbb{N} is a well-ordered set, and concepts from elementary number theory like primes, greatest common divisors and the division algorithm.

Exercise 1. *Prove: If a, b and c are integers such that (i) a divides bc and (ii) a and b are relatively prime, then a divides c . (Hint: Try to mimic the proof of Euclid's Lemma [R, page 43]).*

You are also familiar with elementary set theory: intersection and union of sets, subsets, and set builder notation like $\{n : n \text{ is a positive integer}\}$ which of course equals \mathbb{N} . The cardinality (number of elements) of a set X is denoted by $|X|$. You are able to prove equalities like $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$ for all sets A, B, C . [GG, page 8, Example 13].

Exercise 2. *Prove: $A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$ for all sets A, B, C .*

You also are familiar with functions (also called mappings or maps) and the concepts of a function $f : A \rightarrow B$ being one-to-one (injective), or onto (surjective), or a one-to-one correspondence (a bijection) [D, page 11–13]. Given functions $f : A \rightarrow B$ and $g : B \rightarrow C$, the composition $g \circ f : A \rightarrow C$ is defined by $(g \circ f)(a) = g(f(a))$ for all $a \in A$. The composition of two onto functions (when defined) is another onto function [D, page 16, 2.1].

Exercise 3. *Prove: If $f : A \rightarrow B$ and $g : B \rightarrow C$ are two functions which are both one-to-one, then the composition $g \circ f : A \rightarrow C$ is one-to-one.*

A function $f : A \rightarrow B$ is *invertible* if and only if it is both one-to-one and onto. If f is invertible, there exists a unique function $f^{-1} : B \rightarrow A$ such

that $f \circ f^{-1} = 1_B$ and $f^{-1} \circ f = 1_A$ (1_X denotes the identity function on the set X .)

Exercise 4. *Prove: If $f : A \rightarrow B$ is a function, and if there exists a function $g : B \rightarrow A$ such that $f \circ g = 1_B$ and $g \circ f = 1_A$, then f is invertible and $g = f^{-1}$.*

An important relation on the set \mathbb{Z} of all integers is *congruence modulo m* , where $m \geq 0$ denotes some fixed integer that is called *the modulus*. Define two integers a and b to be *congruent modulo m* , if m divides $a - b$. Write $a \equiv b \pmod{m}$ if a and b are congruent modulo m . Notice that $a \equiv b \pmod{0}$ if and only if $a = b$, while $a \equiv b \pmod{1}$ for any two integers a and b . Thus, in most cases, the modulus m is assumed to be ≥ 2 . Congruence modulo m is an equivalence relation on \mathbb{Z} , i.e. the relation $\equiv \pmod{m}$ is reflexive, symmetric and transitive [R, page 63, 1.45]. The equivalence class containing a is denoted by $[a]$ and called the *congruence class of a modulo m* . Suppose $m \geq 2$ and let $a \in \mathbb{Z}$. By the division algorithm there exist unique integers q and r such that $a = qm + r$ and $0 \leq r < m$. This unique r is said to be *the remainder after dividing a by m* [R, p. 37, Definition]. Two integers a and b are congruent modulo m if and only if they have the same remainder after division by m [R, page 63, 1.46(iii)]. Each integer a is congruent modulo m to exactly one element in the set $\{0, 1, \dots, m - 1\}$ [R, page 64, 1.47]. It follows that the set $\mathbb{Z}_m = \{[a] : a \in \mathbb{Z}\}$ of all congruence classes modulo m is a finite set of cardinality m ; in fact [GG, page 83]

$$\mathbb{Z}_m = \{[0], [1], \dots, [m - 1]\}.$$

Congruence modulo m is compatible with the operations of addition and multiplication of integers in the sense that $a \equiv b \pmod{m}$ and $a' \equiv b' \pmod{m}$ imply that $a + a' \equiv b + b' \pmod{m}$ and that $aa' \equiv bb' \pmod{m}$ [R, page 64, 1.48].

2. GROUPS

A *group* is a pair $(G, *)$ where G is a set and $*$ a binary operation on G which associates with every ordered pair $(a, b) \in G \times G$ a unique element $a * b \in G$ such that the following conditions hold:

- a) For all $a, b, c \in G$, $(a * b) * c = a * (b * c)$.
- b) There exists $e \in G$ such that $e * a = a * e = a$ for all $a \in G$.
- c) For every $a \in G$ there exists $a' \in G$ such that $a * a' = a' * a = e$.

If $a * b = b * a$ for all elements a, b in a group G , then G is said to be a *commutative* or an *abelian* group. The *order* of G is the cardinality of the set G .

Suppose $(G, *)$ is a group. One can show that there exists one and only one element $e \in G$ that has property b), and this is called the *identity element* of G ; also, given $a \in G$, there exists one and only one $a' \in G$ satisfying c), and this a' is called the *inverse of a* ; if the operation $*$ is considered to be a multiplication, a' is denoted by a^{-1} ; if $*$ is considered to be an addition,

one writes $a' = -a$ and calls a' the *additive inverse of a* or the *negative of a* .

Examples of Groups.

1. $(\mathbb{Z}, +)$, $e = 0$, inverse = negative.
2. $(\mathbb{Q}, +)$, $e = 0$, inverse = negative.
3. $(\mathbb{R}, +)$, $e = 0$, inverse = negative.
4. $(\mathbb{C}, +)$, $e = 0$, inverse = negative.
5. $(\mathbb{Z}_m, +)$ where $1 \leq m \in \mathbb{Z}$, and for $[a], [b] \in \mathbb{Z}_m$, $[a] + [b] = [a + b]$; $e = [0]$, and $-[a] = [m - a] = [-a]$ for all $[a] \in \mathbb{Z}_m$.
6. Define \mathbb{Q}^* , \mathbb{R}^* and \mathbb{C}^* to be the set of all nonzero rationals, all nonzero reals, and all nonzero complex numbers, respectively. Then (\mathbb{Q}^*, \cdot) , (\mathbb{R}^*, \cdot) and (\mathbb{C}^*, \cdot) are groups with $e = 1$; the inverse of a is $\frac{1}{a} = a^{-1}$.
7. Define \mathbb{Q}^+ and \mathbb{R}^+ to be the set of all positive rationals and all positive reals, respectively. Then (\mathbb{Q}^+, \cdot) and (\mathbb{R}^+, \cdot) are groups with $e = 1$; the inverse of a is $\frac{1}{a} = a^{-1}$.
8. $(\{1\}, \cdot)$ and $(\{1, -1\}, \cdot)$ with $\{1, -1\} \in \mathbb{Z}$.
9. $(M_{m,n}(F), +)$ where $M_{m,n}(F)$ denotes the set of all $m \times n$ matrices over a field F and the operation is matrix addition; e is the zero matrix of size $m \times n$; inverse of $A \in M_{m,n}(F)$ is $-A$.
10. $(GL(n, F), \cdot)$ where $GL(n, F)$ denotes the set of all invertible matrices of size $n \times n$ over the field F and the operation is matrix multiplication; $e = I$, the $n \times n$ identity matrix.
11. $(SL(n, F), \cdot)$ where $SL(n, F)$ denotes the set of all $n \times n$ -matrices over the field F which have determinant 1 and the operation is matrix multiplication; $e = I$, the $n \times n$ identity matrix.
12. (S_X, \circ) where X is a nonempty set and S_X is the set of all bijections $\beta : X \rightarrow X$ with operation composition of functions; $e = 1_X$, the identity function on X .
13. (S_n, \circ) where $S_n = S_X$ with $X = \{1, 2, \dots, n\}$, the group of all permutations of $\{1, 2, \dots, n\}$ with operation composition of functions. If $\beta \in S_n$, use matrix notation for β . Write

$$\beta = \begin{pmatrix} 1 & 2 & \dots & n \\ \beta(1) & \beta(2) & \dots & \beta(n) \end{pmatrix}.$$

Note that S_n has order $n!$. In general, for $\alpha, \beta \in S_n$, $\alpha \circ \beta \neq \beta \circ \alpha$. The identity function is a bijection, thus

$$e = 1_{\{1, \dots, n\}} = \begin{pmatrix} 1 & 2 & \dots & n \\ 1 & 2 & \dots & n \end{pmatrix}.$$

The inverse of $\beta \in S_n$ can be found by interchanging the rows of the matrix representing β . For example, the inverse of

$$\beta = \begin{pmatrix} 1 & 2 & 3 & 4 \\ 2 & 4 & 1 & 3 \end{pmatrix} \in S_4$$

is

$$\beta^{-1} = \begin{pmatrix} 2 & 4 & 1 & 3 \\ 1 & 2 & 3 & 4 \end{pmatrix}$$

which equals

$$\beta^{-1} = \begin{pmatrix} 1 & 2 & 3 & 4 \\ 3 & 1 & 4 & 2 \end{pmatrix}.$$

Let $(G, *)$ be a group. A *subgroup* of G is a subset H of G such that $(H, *)$ is a group on its own right. If H is a subgroup of G , this is indicated by writing $H \leq G$. For example, $\mathbb{Z} \leq \mathbb{Q} \leq \mathbb{R} \leq \mathbb{C}$; similarly, $\{1\} \leq \{1, -1\} \leq \mathbb{Q}^* \leq \mathbb{R}^* \leq \mathbb{C}^*$, and $SL(n, F) \leq GL(n, F)$ when F is a field.

Exercise 5. Prove: For every group G , $\{e\} \leq G$ and $G \leq G$.

Exercise 6. Is $\mathbb{Q}^+ \leq \mathbb{Q}$? Is $\mathbb{R}^+ \leq \mathbb{R}^*$? Is $\mathbb{Z}_3 \leq \mathbb{Z}_4$? Is $S_3 \leq S_4$?

Two Subgroup Criteria. For a subset $H \subseteq G$ of a multiplicative group G , the following conditions are equivalent:

- 1) H is a subgroup of G .
- 2) H is nonempty, and $a, b \in H$ implies $ab \in H$ and $a^{-1} \in H$.
- 3) H is nonempty, and $a, b \in H$ implies $ab^{-1} \in H$. [GG, page 123f, 3.9 and 3.10].

If $(G, +)$ is an additive group and $H \subseteq G$, then $H \leq G$ is equivalent to the additive versions of 2) and 3), i.e.

- 2⁺) H is nonempty, and $a, b \in H$ implies that $a + b \in H$ and $-a \in H$.
- 3⁺) H is nonempty, and $a, b \in H$ implies $a - b \in H$.

Integral powers and multiples. Let $a \in G$ where (G, \cdot) is a multiplicative group. Define $a^0 = e, a^1 = a, a^2 = a \cdot a$ etc., i.e. for $n \in \mathbb{N}$, a^n is the product of n factors each of which equals a ; define $a^{-n} = (a^{-1})^n$. The *Laws of Exponents* hold: For all integers m and n , $a^{m+n} = a^m a^n$ and $a^{mn} = (a^m)^n$. If $a \in G$ where $(G, +)$ is an additive group, one writes integral multiples instead of powers. Thus, $0a = e, 1a = a, 2a = a + a$ etc., i.e. for $n \in \mathbb{N}$, na is the sum of n terms each of which equals a ; define $(-n)a = n(-a)$. The *Laws of Multiples* are: For all integers m and n , $(m+n)a = ma + na$ and $(mn)a = m(na)$.

Cyclic Subgroups. Let (G, \cdot) be a multiplicative group and $a \in G$. If H is a subgroup of G containing a , then, by the subgroup criteria, H also contains a^{-1} , and closure of the operation in H implies $a \cdot a^{-1} = e = a^0 \in H$; closure also implies that, for every positive integer n , a^n and $(a^{-1})^n = a^{-n}$ must belong to H . Thus,

$$\{a^k : k \in \mathbb{Z}\} \subseteq H.$$

It turns out that the set of all integral powers of a forms a subgroup of G called the *cyclic subgroup generated by a* and denoted by $\langle a \rangle$. This is the smallest subgroup of G containing the element a . If $(G, +)$ is an additive group, we write integral multiples instead of powers. In this case, the cyclic group generated by a is $\langle a \rangle = \{na : n \in \mathbb{Z}\}$. For example, for $2 \in \mathbb{Q}^+$,

$\langle 2 \rangle = \{2^n : n \in \mathbb{Z}\}$, while for $2 \in \mathbb{Q}$, $\langle 2 \rangle = \{n2 : n \in \mathbb{Z}\}$ which is usually denoted by $2\mathbb{Z}$. (Is (\mathbb{Q}, \cdot) a group?)

Homomorphisms. Throughout, (G, \circ) and $(H, *)$ are groups with identity elements e_G and e_H , respectively. Notation will mostly be multiplicative, e.g. write a^{-1} for the inverse of a group element a . A *homomorphism* from G to H is a mapping $\alpha : G \rightarrow H$ such that $\alpha(a \circ b) = \alpha(a) * \alpha(b)$ for all $a, b \in G$.

Examples. Each of the following maps is a homomorphism.

1. $(G, \circ) = (\mathbb{Z}, +)$ and $(H, *) = (\mathbb{R}^+, \cdot)$, define $\alpha : \mathbb{Z} \rightarrow \mathbb{R}^+$ by $\alpha(n) = 2^n$ for all $n \in \mathbb{Z}$.
2. $(G, \circ) = (GL(2, \mathbb{R}), \cdot)$ and $(H, *) = (\mathbb{R}^*, \cdot)$, define $\beta : GL(2, \mathbb{R}) \rightarrow \mathbb{R}^*$ by $\beta(A) = \det(A)$ for each $A \in GL(2, \mathbb{R})$.
3. $(G, \circ) = (\mathbb{Z}, +)$ and $(H, *) = (\mathbb{Z}_m, +)$, where $m \geq 1$ is some fixed integer. Then define $\gamma : \mathbb{Z} \rightarrow \mathbb{Z}_m$ by $\gamma(k) = [k]$ for all $k \in \mathbb{Z}$.
4. $(G, \circ) = (\mathbb{Z}_4, +)$ and $(H, *) = (\mathbb{C}^*, \cdot)$, define $\delta : \mathbb{Z}_4 \rightarrow \mathbb{C}^*$ by $\delta([k]) = i^k$ for all $[k] \in \mathbb{Z}_4$ where $i = \sqrt{-1}$.
5. $(G, \circ) = (\mathbb{R}^+, \cdot)$ and $(H, *) = (\mathbb{R}, +)$, define $\varphi : \mathbb{R}^+ \rightarrow \mathbb{R}$ by $\varphi(x) = \ln x$ for each $x \in \mathbb{R}^+$.

Exercise 7. Prove that each of the five maps is a (well-defined) homomorphism.

Notice that the first and the fourth maps are one-to-one but not onto; the second and third maps are onto but not one-to-one; and the last map is a homomorphism which is both one-to-one and onto. This prompts:

Definition. An *isomorphism* from G to H is a homomorphism from G to H which is both one-to-one and onto. Two groups G and H are *isomorphic* if there exists an isomorphism from G onto H . If G and H are isomorphic, this is symbolized by writing $G \cong H$.

Thus, from Example 5, the groups (\mathbb{R}^+, \cdot) and $(\mathbb{R}, +)$ are isomorphic, and so are the groups $(\mathbb{Z}_4, +)$ and the cyclic subgroup $\langle i \rangle$ of \mathbb{C}^* generated by $i = \sqrt{-1}$.

Exercise 8. Suppose that $\alpha : G \rightarrow H$ is an isomorphism. Prove that $\alpha^{-1} : H \rightarrow G$ is an isomorphism.

Definition. Let $\alpha : G \rightarrow H$ be a homomorphism. Then:

- (a) The *image* of α is $\text{Im}(\alpha) = \{h \in H : h = \alpha(g) \text{ for some } g \in G\}$.
- (b) The *kernel* of α is the set $\text{Ker}(\alpha) = \{g \in G : \alpha(g) = e_H\}$.

Exercise 9. Find the image and the kernel of each of the five homomorphisms in the Examples above.

Proposition. Let $\alpha : G \rightarrow H$ be a homomorphism. Then

- 1) $\alpha(e_G) = e_H$;
- 2) For all $g \in G$, $\alpha(g^{-1}) = \alpha(g)^{-1}$;
- 3) $\text{Im}(\alpha)$ is a subgroup of H ;
- 4) $\text{Ker}(\alpha)$ is a subgroup of G ;

- 5) α is one-to-one if and only if $\text{Ker}(\alpha) = \{e_G\}$;
 6) For all $k \in \text{Ker}(\alpha)$ and for all $x \in G$, $x \circ k \circ x^{-1} \in \text{Ker}(\alpha)$.

Exercise 10. Prove this proposition.

Definition. A normal subgroup of a group (G, \circ) is a subgroup N of G such that $x \circ n \circ x^{-1} \in N$ for all $x \in G$ and for all $n \in N$.

Thus, the last part of the Proposition above may be restated by saying that the kernel of a group homomorphism is always a normal subgroup of the domain group. Note that every subgroup of an abelian group is normal. Also, for any group G , the *trivial subgroup* $\{e_G\}$ and the group G itself are normal subgroups of G .

Cosets. Let K be a subgroup of a group (G, \circ) and let $x \in G$. The *left coset of K in G containing x* is the set

$$x \circ K = \{x \circ k : k \in K\}.$$

Notice that $e_G \circ K = K$ so that the subgroup K itself is a left coset of K in G .

Examples. 1. Let $m = 5$ and $K = 5\mathbb{Z} \leq \mathbb{Z}$. For any $z \in \mathbb{Z}$, the congruence class of z modulo 5 is $[z] = z + K$, the left coset of K in \mathbb{Z} containing z .

2. Let $K = S(2, \mathbb{R}) \leq G(2, \mathbb{R})$. For a matrix $A \in S(2, \mathbb{R})$, the left coset $A \cdot S(2, \mathbb{R})$ consists of all matrices in $G(2, \mathbb{R})$ which have the same determinant as A .

A *partition* of a nonempty set X is a collection \mathcal{P} of subsets of X such that (i) no member of \mathcal{P} is empty, (ii) any two distinct members of \mathcal{P} are disjoint, and (iii) the union of all subsets in \mathcal{P} equals X . Let (G, \circ) be a group with subgroup K and $x \in G$, then $x = x \circ e_G \in x \circ K$ proving $x \circ K$ is a nonempty subset of G . In fact, one has the following result [R, page 140, Lemma 2.31]:

Proposition. Let K be a subgroup of the group (G, \circ) . Then the set

$$\mathcal{P} = \{x \circ K : x \in G\}$$

of all left cosets of K in G forms a partition of G .

Exercise 11. Suppose that (G, \circ) is a finite group, K is a subgroup of G , and $x \in G$. Prove that $|x \circ K| = |K|$.

Lagrange's Theorem. Let K be a subgroup of the finite group (G, \circ) and let \mathcal{P} denote the set of all left cosets of K in G . Then $|G| = |K| \cdot |\mathcal{P}|$.

Exercise 12. Prove Lagrange's Theorem.

Exercise 13. Suppose G is a group of finite order 12 and K is a subgroup of G . Find all integers m which might be equal the order of K .

Quotient Groups. Let (G, \circ) be a group and let N be a normal subgroup of G . Consider the set of all left cosets of N in G and denote it by G/N :

$$G/N = \{x \circ N \mid x \in G\}.$$

Exercise 14. Find G/N in each of the following cases:

- a) $(G, \circ) = (S_3, \circ)$ and $N = \langle \beta \rangle$ with $\beta(1) = 2, \beta(2) = 3, \beta(3) = 1$.
 b) $(G, \circ) = (\mathbb{Z}, +)$ and $N = m\mathbb{Z}$ where $m \geq 2$ is some fixed integer.

Theorem. Let (G, \circ) be a group and let N be a normal subgroup of G . Define an operation, also denoted by \circ , on the set G/N by $(x \circ N) \circ (y \circ N) = (x \circ y) \circ N$ for all $x, y \in G$. Then:

- a) This product of cosets is well defined.
 b) $(G/N, \circ)$ is a group with identity $e_{G/N} = e_G \circ N = N$; for each $x \in G$, $(x \circ N)^{-1} = x^{-1} \circ N$.
 c) The mapping $\nu : G \rightarrow G/N$ defined by $\nu(x) = x \circ N$ for all $x \in G$ is a surjective homomorphism from G to G/N , and $\text{Ker}(\nu) = N$.

Exercise 15. Prove this theorem.

Definition. The group $(G/N, \circ)$ of the Theorem above is called the *quotient group* (or factor group) of G modulo N , and the surjective homomorphism $\nu : G \rightarrow G/N$ is said to be the *natural homomorphism* from G to its quotient group G/N .

The Isomorphism Theorem for Groups. A *homomorphic image* of the group (G, \circ) is any group $(G', *)$ with the property that there exists a homomorphism $\eta : G \rightarrow G'$ from G onto G' , i.e. $G' = \text{Im } \eta$. Thus, if $\alpha : G \rightarrow H$ is a homomorphism of groups, then $\text{Im } \alpha$ is a homomorphic image of G .

Examples. From the five examples of homomorphisms on page 5 of these notes, one observes:

1. $\langle 2 \rangle \leq \mathbb{R}^+$ is a homomorphic image of $(\mathbb{Z}, +)$.
2. \mathbb{R}^* is a homomorphic image of $GL(2, \mathbb{R})$.
3. For each integer $m \geq 1$, $(\mathbb{Z}_m, +)$ is a homomorphic image of $(\mathbb{Z}, +)$.
4. The cyclic subgroup $\langle i \rangle \leq \mathbb{C}^*$ is a homomorphic image of $(\mathbb{Z}_4, +)$.
5. $(\mathbb{R}, +)$ is a homomorphic image of (\mathbb{R}^+, \cdot) .

The following fact is of fundamental importance in group theory. For a proof, see [R, page 166, Theorem 2.53] or [D, page 109, Theorem 23.1].

The (First) Isomorphism Theorem. Let (G, \circ) and $(H, *)$ be groups and let $\alpha : G \rightarrow H$ be a homomorphism. Then $\text{Ker } \alpha$ is a normal subgroup of G , and $\text{Im } \alpha$ is a subgroup of H which is isomorphic to the quotient group $G/\text{Ker } \alpha$.

Exercise 16. Consider the five examples of homomorphisms on page 5 of these notes. For each of these, (i) find a quotient group of G which is isomorphic to the image; and (ii) specify a mapping from this quotient group of G to the image which is an isomorphism.

Exercise 17. Let (G, \cdot) be a multiplicative group with identity element $e \in G$.

- a) Is $G \cong G/\{e\}$? Justify your answer.
 b) Describe the quotient group G/G . What is its order?

Exercise 18. Let (G, \cdot) be a multiplicative group with identity element e , and let $a \in G$. Prove:

a) If $\langle a \rangle$ is an infinite set, then $\langle a \rangle$ is isomorphic to the additive group \mathbb{Z} of all integers.

b) If $\langle a \rangle$ has finite order m , then $\langle a \rangle$ is isomorphic to the additive group \mathbb{Z}_m . (Hint: Argue that $\phi : k \mapsto a^k$, $k \in \mathbb{Z}$, is a surjective homomorphism from $(\mathbb{Z}, +)$ to $\langle a \rangle$, and that $\text{Ker } \phi = m\mathbb{Z}$.)

Exercise 19. Prove: Being isomorphic is an equivalence relation on the collection of all groups.

Exercise 20. Prove: If $\langle a \rangle$ and $\langle b \rangle$ are two cyclic groups of equal order, then $\langle a \rangle$ and $\langle b \rangle$ are isomorphic. (Hint: Exercise 18 above.)

Exercise 21. Prove: The multiplicative group of all nonzero real numbers is isomorphic to the quotient group $GL(2, \mathbb{R})/SL(2, \mathbb{R})$.

3. RINGS

A *ring* is a triple $(R, +, \cdot)$ where R is a set and $+$ and \cdot are two binary operations on R satisfying the following conditions:

a) $(R, +)$ is an abelian group with identity element $0 = 0_R$.

b) For all $a, b, c \in R$, $(ab)c = a(bc)$.

c) For all $a, b, c \in R$, $a(b + c) = ab + ac$ and $(a + b)c = ac + bc$.

If there exists an element $1 = 1_R \in R$ such that $1a = a1 = a$ for all $a \in R$, then R is said to be a *ring with identity*; if $ab = ba$ for all $a, b \in R$, then R is said to be a *commutative ring*.

Examples. $\mathbb{Z}, \mathbb{Q}, \mathbb{R}, \mathbb{C}$ are all commutative rings with identity; the ring \mathbb{E} of even integers is commutative but does not have an identity. Given a ring R , the set $M_n(R)$ of all $n \times n$ -matrices with entries in R is a ring under the usual addition and multiplication of matrices. If $n \geq 2$ and R is a ring with identity $1 \neq 0$, then $M_n(R)$ is a ring with identity, namely the $n \times n$ identity matrix, but $M_n(R)$ is not commutative. The set $\mathbb{R}[x]$ of all polynomial functions in the indeterminate x with real coefficients is a ring under the usual addition and multiplication of polynomials. For any integer $m \geq 1$, $(\mathbb{Z}_m, +, \cdot)$ is a commutative ring with identity when multiplication is defined by $[k] \cdot [\ell] = [k \cdot \ell]$ for all $k, \ell \in \mathbb{Z}$.

Exercise 22. Prove that multiplication in \mathbb{Z}_m is well defined.

If R is a ring with identity 1 , one can show that 1 is unique. A *unit* of a ring R with identity is any element $u \in R$ for which there exists $v \in R$ satisfying $uv = vu = 1$. Again, one can show that, given a unit u , the element v with the property $uv = vu = 1$ is unique; thus, v is called the inverse of u and denoted by $v = u^{-1}$.

Exercise 23. Let R be a ring with identity 1 . Prove:

a) $1_R = 0_R$ if and only if $R = \{0_R\}$.

b) The set $U(R)$ of all units in R is a group under the operation of multiplication defined in R .

A *field* is a commutative ring F with identity $1_F \neq 0_F$ such that every nonzero element of F is a unit, i.e. $U(F) = F - \{0\}$. Examples of fields are \mathbb{Q} , \mathbb{R} , \mathbb{C} , and \mathbb{Z}_p when p is a prime.

Ring Homomorphisms. Let $(R, +, \cdot)$ and $(S, +, \cdot)$ be rings. A *ring homomorphism* from R to S is a mapping $\alpha : R \rightarrow S$ such that $\alpha(a + b) = \alpha(a) + \alpha(b)$ and $\alpha(ab) = \alpha(a)\alpha(b)$ for all $a, b \in R$.

Examples. Each of the following maps is ring a homomorphism.

1. Let R be the ring of integers and let $S = \mathbb{Z}_m$ be the ring of integers modulo m for some $m \geq 1$. Define $\alpha : \mathbb{Z} \rightarrow \mathbb{Z}_m$ by $\alpha(k) = [k]$ for all $k \in \mathbb{Z}$.

2. Let $R = \mathbb{R}$ and let $S = M_2(\mathbb{R})$ be the ring of all real 2×2 -matrices. Define $\alpha : \mathbb{R} \rightarrow M_2(\mathbb{R})$ by $\alpha(x) = \begin{pmatrix} x & 0 \\ 0 & 0 \end{pmatrix}$ for all $x \in \mathbb{R}$.

3. Let $R = \mathbb{Z}$ and $S = M_n(\mathbb{C})$, and define $\alpha : \mathbb{Z} \rightarrow M_n(\mathbb{C})$ by $\alpha(k) = kI$, $k \in \mathbb{Z}$, where I denotes the $n \times n$ identity matrix.

4. Let $R = S = \mathbb{C}$ and define $\alpha : \mathbb{C} \rightarrow \mathbb{C}$ by $\alpha(a + bi) = a - bi$ where $a, b \in \mathbb{R}$.

Exercise 24. Prove that each of the four maps is a ring homomorphism.

Definition. A *ring isomorphism* from a ring R to a ring S is a ring homomorphism $\alpha : R \rightarrow S$ which is both one-to-one and onto. Two rings R and S are *isomorphic* if there exists a ring isomorphism from R onto S . If R and S are isomorphic, this is symbolized by writing $R \cong S$.

Examples. These rings are isomorphic.

1. Given an n -dimensional vector space V over a field F , the set $L(V, V)$ of all linear transformations $T : V \rightarrow V$ (with pointwise addition and composition of mappings as multiplication) is a ring which is isomorphic to the ring $M_n(F)$ of all $n \times n$ -matrices over F .

2. Example 4 above is an isomorphism from the field of complex numbers to itself, also called an *automorphism*.

Exercise 25. Prove: If $\alpha : R \rightarrow S$ is an isomorphism of rings, then $\alpha^{-1} : S \rightarrow R$ is an isomorphism of rings.

Definition. Let $\alpha : R \rightarrow S$ be a ring homomorphism. Define:

- (a) The *image* of α is the set $\text{Im}(\alpha) = \{y \in S : y = \alpha(x) \text{ for some } x \in R\}$.
- (b) The *kernel* of α is the set $\text{Ker}(\alpha) = \{x \in R : \alpha(x) = 0_S\}$.

Notice that, if $\alpha : R \rightarrow S$ is a ring homomorphism, then α is also homomorphism from $(R, +)$ to $(S, +)$. Thus, kernels and images of ring homomorphisms give nothing new.

A *subring* of a ring R is a subset S of R which is a ring under the same operations as those in R .

Exercise 26. Let R be a ring and let $S \subseteq R$. Prove: S is a subring of R if and only if: (i) $(S, +)$ is a subgroup of $(R, +)$, and (ii) (S, \cdot) is closed, i.e. $x, y \in S$ implies $xy \in S$.

Examples. Each of these are subrings.

- 1. The set $5\mathbb{Z}$ is a subring of the ring of integers.

2. The set of all upper triangular matrices in $M_n(\mathbb{R})$ is a subring of the ring of all real $n \times n$ -matrices. (Ditto for the set of all lower triangular matrices and the set of all diagonal matrices in $M_n(\mathbb{R})$.)

Proposition. *Let R and S be rings and let $\alpha : R \rightarrow S$ be a ring homomorphism. Then*

- 1) $\alpha(0_R) = 0_S$.
- 2) For all $a \in R$, $\alpha(-a) = -\alpha(a)$.
- 3) $\text{Im}(\alpha)$ is a subring of S .
- 4) $\text{Ker}(\alpha)$ is a subring of R .
- 5) α is one-to-one if and only if $\text{Ker}(\alpha) = \{0_R\}$
- 6) For all $k \in \text{Ker}(\alpha)$ and for all $x \in R$, $xk \in \text{Ker}(\alpha)$ and $kx \in \text{Ker}(\alpha)$.

Exercise 27. *Let R be a ring.*

- a) *Prove: $a \cdot 0_R = 0_R = 0_R \cdot a$ for all $a \in R$.*
- b) *Prove the Proposition on ring homomorphisms stated above.*

Ideals. An ideal of a ring R is a subring I of R which is “closed under external-internal multiplication” in the sense that $i \in I$ implies that $xi \in I$ and $ix \in I$ for all $x \in R$. Thus, part 6) of the the proposition above implies that the kernel of a ring homomorphism is always an ideal of the domain ring. Given any ring R , both $\{0_R\}$ and R are ideals of R . For any fixed integer n , the set $n\mathbb{Z}$ of all integral multiples of n is an ideal of the ring of integers. For example, the ring $\mathbb{E} = 2\mathbb{Z}$ of even integers is an ideal of \mathbb{Z} .

In ring theory, ideals take on the role that normal subgroups play in group theory, namely they allow you to define quotient structures.

Quotient Rings. Let R be a ring and let I be an ideal of R . Then $(I, +)$ is a subgroup of $(R, +)$ which must be normal since $(R, +)$ is a commutative group. Thus, the set

$$R/I = \{a + I \mid a \in R\}$$

of all left cosets of I in the group $(R, +)$ is a group under the operation $(a + I) + (b + I) = (a + b) + I$; and $(R/I, +)$ is an abelian group since $(R, +)$ is abelian. Also from group theory, the mapping $\nu : R \rightarrow R/I$ defined by $\nu(a) = a + I$ for all $a \in R$ is a surjective group homomorphism from $(R, +)$ to $(R/I, +)$.

Exercise 28. *Let I be an ideal of the ring R and let $a, a', b, b' \in R$ such that $a + I = a' + I$ and $b + I = b' + I$. Prove that $(ab) + I = (a'b') + I$.*

Theorem. *Let R be a ring and let I be an ideal of R . Define a multiplication on the quotient group R/I by $(a + I)(b + I) = (ab) + I$ for all $a, b \in R$. Then:*

- a) *This multiplication is well defined.*
- b) *R/I is a ring with $0_{R/I} = 0_R + I = I$; if R is a ring with identity 1_R , then so is R/I and $1_{R/I} = 1_R + I$.*
- c) *The mapping $\nu : R \rightarrow R/I$ defined by $\nu(a) = a + I$ for all $a \in R$ is a surjective ring homomorphism from R to R/I , and $\text{Ker}(\nu) = I$.*

Exercise 29. *Prove this theorem.*

Definition. The ring R/I of the Theorem is called the *quotient ring* of R modulo I .

The Isomorphism Theorem for Rings. Let R and S be rings. A *homomorphic image* of R is any ring R' with the property that there exists a ring homomorphism $\eta : R \rightarrow R'$ from R onto R' .

Examples. From the first three examples of ring homomorphisms above, one observes:

1. For every integer $m \geq 1$, the ring \mathbb{Z}_m is a homomorphic image of \mathbb{Z} .
2. The subring of $M_2(\mathbb{R})$ consisting of all real diagonal 2×2 -matrices with $(2, 2)$ -entry zero is a homomorphic image of the field \mathbb{R} .
3. The set of all matrices of the form $kI \in M_n(\mathbb{C})$ with k an integer and I the identity matrix is a subring of $M_n(\mathbb{C})$ and a homomorphic image of \mathbb{Z} .

A proof of the following theorem can be found in [R, page 280, Theorem 3.71] or [GG, page 251, Theorem 6.13].

The (First) Isomorphism Theorem for Rings. Let R and S be rings and let $\alpha : R \rightarrow S$ be a ring homomorphism from R to S . Then $\text{Ker } \alpha$ is an ideal of R , and $\text{Im } \alpha$ is a subring of S which is isomorphic to the quotient ring $R/\text{Ker } \alpha$.

Exercise 30. Let R be a commutative ring with identity $1 \neq 0$, and let I be an ideal of R . Prove: If $I \neq R$, then R/I is a commutative ring with identity $1 \neq 0$.

Exercise 31. Let R be a commutative ring with identity $1 \neq 0$, and let $a \in R$. Prove:

- a) The set $Ra = \{ra : r \in R\}$ is an ideal of R containing a . (Ra is called the principal ideal generated by a and is also denoted by (a)).
- b) If R has no ideals other than R and $\{0\}$, then R is a field.

Exercise 32. Let R be a ring and let I be an ideal of R .

- a) Prove: If J is an ideal of R such that $I \subseteq J$, then the set $J/I = \{j+I : j \in J\}$ is an ideal of R/I .
- b) Suppose $\bar{J} \subseteq R/I$ is an ideal of R/I . Define $J = \{x \in R : x+I \in \bar{J}\}$. Prove: $I \subseteq J$ and J is an ideal of R .

4. FIELDS

One of the most useful applications of the Isomorphism Theorem for Rings occurs in the study of fields. One reason is that the set $F[x]$ of all polynomials in an indeterminate x over a field F is a commutative ring with identity $1 \neq 0$ which has the property that (i) the product of any two nonzero elements is nonzero, and (ii) every ideal of $F[x]$ is principal (see Exercise 31 of these notes). A commutative ring with identity $1 \neq 0$ which satisfies conditions (i) and (ii) is called a *principal ideal domain*, or a PID for short.

Throughout, F will denote a field. For p prime, \mathbb{Z}_p is a field. Many texts replace congruence classes modulo p by their unique representatives in the set $\{0, \dots, p-1\}$ so that $\mathbb{Z}_p = \{0, \dots, p-1\}$.

Polynomials over F . A *polynomial* over F in the indeterminate x is an expression of the form

$$f = a_0 + a_1x + \cdots + a_nx^n = \sum_{i=0}^n a_ix^i \quad (1)$$

where $n \geq 0$ is an integer and $a_i \in F$, $i = 0, \dots, n$. If

$$g = b_0 + b_1x + \cdots + b_mx^m = \sum_{i=0}^m b_ix^i \quad (2)$$

is another polynomial over F , then we agree that $f = g$ if and only if there exists an integer k such that $a_i = b_i$ for all $i = 0, \dots, k$ and $a_j = 0$ and $b_j = 0$ for all $j > k$. The *zero polynomial* is $0 = 0 + 0x = 0 + 0x + \cdots + 0x^n$. If f is a nonzero polynomial, then one can write

$$f = a_0 + a_1x + \cdots + a_nx^n, \quad a_n \neq 0 \quad (3)$$

and n is called the *degree* of f , in symbols $n = \deg(f)$. A *constant polynomial* is one of the form $h = c$ with $c \in F$. Nonzero constant polynomials have degree zero, and the degree of the zero polynomial is undefined.

If $f = \sum_{i=0}^n a_ix^i$ and $g = \sum_{i=0}^m b_ix^i$ are polynomials over F , one defines $f+g = \sum_{i=0}^{\max\{n,m\}} (a_i+b_i)x^i$, and $fg = \sum_{i=0}^{n+m} c_ix^i$ where, for $i = 0, \dots, n+m$, $c_i = a_0b_i + a_1b_{i-1} + \cdots + a_ib_0$. This definition, of course, requires $a_t = 0$ when $t > n$ and $b_t = 0$ when $t > m$.

For the proof of the following proposition, see [GG, page 294, 8.4, page 296, 8.5, and page 298, 8.7] noting that fields are integral domains.

Proposition. *The polynomial ring $F[x]$ over a field F is a commutative ring with identity $1 = 1_F$ and $0 = 0_F$. The set of constant polynomials is a subring of $F[x]$ which is isomorphic to F . In fact, for $a \in F$, the constant polynomial $h = a$ will be identified with $a \in F$. If $f, g \in F[x]$ are nonzero, their product fg is nonzero, and $\deg(fg) = \deg(f) + \deg(g)$.*

Every polynomial f over F gives rise to a polynomial function from F to F , namely define $f(z) = \sum_{i=0}^n a_iz^i$ for $z \in F$ if $f = \sum_{i=0}^n a_ix^i$. If $F = \mathbb{R}$, it is true that two polynomials are equal if and only if they yield the same function from \mathbb{R} to \mathbb{R} . Thus, a polynomial over \mathbb{R} is identified with its polynomial function and written not just as f but as $f(x)$. This is done in calculus. However, if $F = \mathbb{Z}_2 = \{0, 1\}$, the polynomials $1+x, 1+x^2, 1+x^3, \dots$ all define the same polynomial function when evaluated on \mathbb{Z}_2 . But by our definition of polynomials over a field, these are distinct.

The Division Algorithm. *Let F be a field and let $f \in F[x]$ be a nonzero polynomial. Then, given any $g \in F[x]$, there exist unique $q, r \in F[x]$ such that $g = f \cdot q + r$, and either (i) $r = 0$, or (ii) $r \neq 0$ and $\deg r < \deg f$.*

For a proof, see [GG, page 301]. Also note Example 1 [GG, page 303] which may serve as a model for your solution of Exercise 33.

Exercise 33. Let $f = 2x + 2$ and $g = x^3 + 2x + 2$.

- a) Find $q, r \in \mathbb{R}$ such that $g = fq + r$.

b) Find $q, r \in \mathbb{Z}_3$ such that $g = fq + r$.

A consequence of the Division Algorithm is the following fact. See [R, page 245, 3.39] for a proof.

Corollary. *If F is a field then $F[x]$ is a Principal Ideal Domain (PID).*

Exercise 34. *Prove that $f \in F[x]$ is a unit if and only if f is a nonzero constant polynomial.*

Irreducible Polynomials. A polynomial p over a field F is said to be *irreducible* if (i) p has positive degree (thus, p is not a constant polynomial, hence not zero and not a unit in $F[x]$), and (ii) $p = g \cdot h$ with $g, h \in F[x]$ implies that either g is a constant or h is a constant. Given any nonzero constant c , every $p \in F[x]$ has the trivial factorization $p = c(c^{-1}p)$. The point is that these are the only factorizations that p admits if p is irreducible.

Theorem. *Every polynomial f of positive degree over F is either irreducible or is a product of irreducible polynomials over F .*

The proof is by induction on the degree of f . There is even a uniqueness property which holds for this factorization but we shall not need this. See [D, page 166], [GG, page 312, 8.24], or [R, page 261, 3.52] for a proof.

Maximal Ideals. An ideal M in a ring R is said to be a *maximal ideal* of R if (i) $M \neq R$, and (ii) $M \subseteq I$ with I an ideal of R implies $M = I$ or $I = R$. For example, if p is a prime, then $(p) = p\mathbb{Z}$ is a maximal ideal of \mathbb{Z} .

Exercise 35. *Prove: If F is a field, then $\{0\}$ is a maximal ideal of F .*

Exercise 36. *Let R be a commutative ring with identity $1 \neq 0$ and let M be a maximal ideal of R . Prove: The quotient ring R/M is a field. (Hint: Exercises 31 and 32 of these notes.)*

Proposition. *Let $p \in F[x]$ be irreducible. Then the principal ideal (p) of $F[x]$ generated by p is maximal.*

Proof. Suppose $p \in F[x]$ is irreducible. Then $(p) \neq F[x]$ for otherwise $1 \in (p)$ and p would be a unit contradicting $\deg(p) > 0$ (see Exercise 34). Let I be an ideal of $F[x]$ containing (p) . Since $F[x]$ is a PID, there exists $f \in F[x]$ such that $I = (f)$. Now, $p \in (p) \subseteq I$ implies $p = fg$ for some $g \in F[x]$. Irreducibility implies that f is a unit or g is a unit. If f is a unit, then $I = (f) = F[x]$; if g is a unit, then $f = pg^{-1} \in (p)$ from which we obtain that $I = (f) \subseteq (p) \subseteq I$ and $I = (p)$. This proves (p) is maximal.

Roots of Polynomials. If $f = \sum_{i=0}^n a_i x^i \in F[x]$ and $u \in F$, define $f(u) = \sum_{i=0}^n a_i u^i$. Clearly, $f(u) \in F$. A *root* of f in F is any element $v \in F$ such that $f(v) = 0$. You all have heard of the question vexing mathematicians before they invented irrational numbers: How could there be a root of the polynomial $f = x^2 - 2 \in \mathbb{Q}[x]$? The same struggle took place when mathematicians were disputing whether there could be a “number,” called i for imaginary, that’s a root of $x^2 + 1 \in \mathbb{R}[x]$. The conclusion of this refresher course on undergraduate algebra will consist of an argument that for any nonconstant polynomial f over any field F , there exists an extension field E of F in which f has a root.

The amount of work needed to prove this will depend on the definition of the word “extension field”.

Let K be a field. A *subfield* of K is a subset L of K with the property that L is a field under the same operations of addition and multiplication which are defined for K .

Exercise 37. *A subset L of a field K is a subfield of K if and only if: (i) $1_K \in L$, (ii) $a, b \in L$ implies $a - b \in L$; and (iii) if u and v are nonzero elements in L , then $uv^{-1} \in L$.*

Define E to be an *extension field* of F if there exists an injective ring homomorphism $\phi : F \rightarrow E$.

Lemma. *Let E be a field and let $\phi : F \rightarrow E$ be an injective ring homomorphism. Then:*

a) *$\text{Im } \phi = \overline{F}$ is a subfield of E which is isomorphic to F .*

b) *The map $\overline{\phi} : F[x] \rightarrow \overline{F}[x]$ defined by $\overline{\phi}(\sum_{i=0}^n a_i x^i) = \sum_{i=0}^n \phi(a_i) x^i$, $a_i \in F$, is a ring isomorphism.*

Exercise 38. *Prove this Lemma.*

Proposition. *If $f = \sum_{i=0}^n a_i x^i \in F[x]$ is a polynomial of positive degree over a field F , then there exists a field E and an injective ring homomorphism $\phi : F \rightarrow E$ such that $\sum_{i=0}^n \phi(a_i) v^i = 0$ for some $v \in E$.*

Proof. Assume the hypothesis. By the theorem on page 13, $f = p_1 \dots p_r$ with $r \geq 1$ and each $p_i \in F[x]$ irreducible. Let $p = p_1$ and define $E = F[x]/(p)$. By Exercise 36 and the Proposition on page 13 of these notes, E is a field, and the natural map $\nu : F[x] \rightarrow E$ is a surjective ring homomorphism with kernel (p) . Let $\phi : F \rightarrow E$ be the restriction of ν to F , i.e. $\phi(u) = \nu(u) = u + (p)$ for all $u \in F$. Then ϕ is an injective ring homomorphism. Since $f = p(p_2 \dots p_r) \in (p) = \text{Ker } \nu$, we have $\nu(f) = f + (p) = 0_E$. Hence

$$0 = f + (p) = \sum_{i=0}^n a_i x^i + (p) = \sum_{i=0}^n (a_i + (p))(x + (p))^i.$$

Define $v = x + (p)$. Then $v \in E$, and substituting we obtain

$$0 = \phi(a_0) + \phi(a_1)v + \dots + \phi(a_n)v^n$$

as claimed.

Using some elementary set theory and logic, one can prove the following result (see Hausen’s Class Diary for MATH 6303, Spring 2005—available upon request by email to hausen@uh.edu).

Lemma. *Let E and F be fields and suppose $\phi : F \rightarrow E$ is an injective ring homomorphism. Then there exists a field K with the following properties: (i) F is a subfield of K ; and (ii) there exists a ring isomorphism $\sigma : K \rightarrow E$ such that $\sigma(a) = \phi(a)$ for all $a \in F$.*

This Lemma allows one to construct a field K containing F as a subfield in which the nonconstant polynomial f over F has a root:

Theorem. *Given a polynomial $f = \sum_{i=0}^n a_i x^i$ of positive degree over the field F , there exists a field K containing F as a subfield such that $f(w) = 0$ for some $w \in K$.*

Proof. Assume the hypothesis of the theorem. Use the notation of the Proposition on page 14 and its proof, and recall that the inverse of a ring isomorphism is a ring isomorphism (Exercise 25, page 9). Then the Lemma implies that

$$0_K = \sigma^{-1}(0_E) = \sigma^{-1}\left(\sum_{i=0}^n \phi(a_i)v^i\right) = \sum_{i=0}^n \sigma^{-1}\phi(a_i)(\sigma^{-1}(v))^i.$$

Since $\phi(a) = \sigma(a)$ for all $a \in F$,

$$0_K = \sum_{i=0}^n \sigma^{-1}\sigma(a_i)(\sigma^{-1}(v))^i = \sum_{i=0}^n a_i(\sigma^{-1}(v))^i = f(\sigma^{-1}(v)).$$

Hence, $w = \sigma^{-1}(v) \in K$ is a root of f in K .

The End