

# MATH 4377 - MATH 6308

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- Section 1.4 - Linear Combinations and System of Linear Equations
- Section 1.5 - Linear Dependence/Independence
- Section 1.6 - Bases and Dimension

# Linear Combinations and System of Linear Equations

## Section 1.4

# Linear combination

## Definition

Let  $V$  be a vector space over field  $F$  and  $S$  a nonempty subset of  $V$ . We call  $\mathbf{v} \in V$  a *linear combination* of vectors in  $S$  if there exist vectors  $\mathbf{u}_1, \dots, \mathbf{u}_n \in S$  and scalars  $a_1, \dots, a_n \in F$  such that

$$\mathbf{v} = a_1\mathbf{u}_1 + \dots + a_n\mathbf{u}_n$$

**Exercise:** Take  $V = \mathbb{R}^3$  and  $S = \{(1, 0, 0), (0, 1, 0), (0, 0, 1)\}$ . Write  $(3, 4, 1)$  as a linear combination of vectors in  $S$ .

# Example

**Exercise:** Write  $(3, 1, 2)$  as a linear combination of  $(1, 0, 1)$ ,  $(0, 1, 1)$ ,  $(1, 2, 1)$ .

Need to show that

there are  $c_1, c_2, c_3$  s.t.

$$\begin{matrix} (3, 1, 2) \\ \underline{=} \end{matrix} = \begin{matrix} c_1(1, 0, 1) \\ \underline{=} \end{matrix} + \begin{matrix} c_2(0, 1, 1) \\ \underline{=} \end{matrix} + \begin{matrix} c_3(1, 2, 1) \\ \underline{=} \end{matrix}$$

# Example

**Exercise:** Write  $(3, 1, 2)$  as a linear combination of  $(1, 0, 1)$ ,  $(0, 1, 1)$ ,  $(1, 2, 1)$ .

To solve this problem, we need to solve

$$\underline{x_1(1, 0, 1) + x_2(0, 1, 1) + x_3(1, 2, 1) = (3, 1, 2)}$$

vector  
equation

which gives a system of linear equations:

$$\left\{ \begin{array}{l} x_1 + x_3 = 3 \\ x_2 + 2x_3 = 1 \\ x_1 + x_2 + x_3 = 2 \end{array} \right.$$

↓  
scalar  
equations

# Solving systems of linear equations

You can simplify the solution of a system of linear equations by performing any of these **elementary row operations**:

- Add a constant multiple of one equation to another.
- Multiply an equation by a nonzero scalar.
- Interchange the order of any two equations.

These three operations DO NOT change the solution of the system!

# Solving systems of linear equations

From the system of linear equations

$$\begin{array}{rcl} x_1 + x_3 & = & 3 \\ x_2 + 2x_3 & = & 1 \\ x_1 + x_2 + x_3 & = & 2 \end{array}$$

we write the augmented matrix

$$\left( \begin{array}{ccc|c} 1 & 0 & 1 & 3 \\ 0 & 1 & 2 & 1 \\ 1 & 1 & 1 & 2 \end{array} \right)$$

coefficient  
matrix

We will apply elementary row operations until we obtain a simplified matrix which is equivalent to the original one.



# Solving systems of linear equations

$$r_1 \leftrightarrow r_3, r_2 \leftrightarrow r_3$$

$$\left( \begin{array}{ccc|c} 1 & 0 & 1 & 3 \\ 0 & 1 & 2 & 1 \\ 1 & 1 & 1 & 2 \end{array} \right) \rightarrow \left( \begin{array}{ccc|c} 1 & 1 & 1 & 2 \\ 1 & 0 & 1 & 3 \\ 0 & 1 & 2 & 1 \end{array} \right)$$

$$r_2 \rightarrow r_2 - r_1$$

$$\left( \begin{array}{ccc|c} 1 & 1 & 1 & 2 \\ 1 & 0 & 1 & 3 \\ 0 & 1 & 2 & 1 \end{array} \right) \rightarrow \left( \begin{array}{ccc|c} 1 & 1 & 1 & 2 \\ 0 & -1 & 0 & 1 \\ 0 & 1 & 2 & 1 \end{array} \right)$$

$$r_2 \rightarrow -r_2, r_3 \rightarrow r_3 - r_2; \text{ then } r_3 \rightarrow \frac{1}{2}r_3$$

$$\left( \begin{array}{ccc|c} 1 & 1 & 1 & 2 \\ 0 & -1 & 0 & 1 \\ 0 & 1 & 2 & 1 \end{array} \right) \rightarrow \left( \begin{array}{ccc|c} 1 & 1 & 1 & 2 \\ 0 & 1 & 0 & -1 \\ 0 & 0 & 2 & 2 \end{array} \right) \rightarrow \left( \begin{array}{ccc|c} 1 & 1 & 1 & 2 \\ 0 & 1 & 0 & -1 \\ 0 & 0 & 1 & 1 \end{array} \right)$$

The last matrix is a *row-echelon form matrix*.

# Solving systems of linear equations

The row-echelon form matrix

$$\left( \begin{array}{ccc|c} 1 & 1 & 1 & 2 \\ 0 & 1 & 0 & -1 \\ 0 & 0 & 1 & 1 \end{array} \right)$$

corresponds to the system

$$\begin{aligned} x_1 + x_2 + x_3 &= 2 \\ x_2 &= -1 \\ x_3 &= 1 \end{aligned}$$

which is easily solved:  $x_1 = 2, x_2 = -1, x_3 = 1$ .

Thus we solve the original linear combination problem as

$$(3, 1, 2) = 2(1, 0, 1) - (0, 1, 1) + (1, 2, 1)$$

# Example

**Exercise:** Write  $(3, 1, 2)$  as a linear combination of  $(1, 0, 0)$ ,  $(0, 1, 0)$ ,  $(1, 2, 0)$ .

## Example

**Exercise:** Write  $(3, 1, 2)$  as a linear combination of  $(1, 2, -1), (1, 6, -3), (0, 1, 2), (1, 2, 1)$ .

## Example

**Exercise:** Write  $(3, 1, 2)$  as a linear combination of  $(1, 2, -1), (1, 6, -3), (0, 1, 2), (1, 2, 1)$ .

To solve this problem, we need to solve

$$x_1(1, 2, -1) + x_2(1, 6, -3) + x_3(0, 1, 2) + x_4(1, 2, 1) = (3, 1, 2)$$

which gives a system of linear equations:

$$\begin{aligned}x_1 + x_2 + x_4 &= 3 \\2x_1 + 6x_2 + x_3 + 2x_4 &= 1 \\-x_1 - 3x_2 + 2x_3 + x_4 &= 2\end{aligned}$$

# Example

$$\begin{pmatrix} 1 & 1 & 0 & 1 & | & 3 \\ 2 & 6 & 1 & 2 & | & 1 \\ -1 & -3 & 2 & 1 & | & 2 \end{pmatrix} \rightarrow \begin{pmatrix} 1 & 1 & 0 & 1 & | & 3 \\ 0 & 4 & 1 & 0 & | & -5 \\ 0 & -2 & 2 & 2 & | & 5 \end{pmatrix} \rightarrow$$
$$\begin{pmatrix} 1 & 1 & 0 & 1 & | & 3 \\ 0 & -2 & 2 & 2 & | & 5 \\ 0 & 4 & 1 & 0 & | & -5 \end{pmatrix} \rightarrow \begin{pmatrix} 1 & 1 & 0 & 1 & | & 3 \\ 0 & 1 & -1 & -1 & | & -5/2 \\ 0 & 0 & 5 & 4 & | & 5 \end{pmatrix}$$

Hence we have the solution:

$$5x_3 = 5 - 4x_4, x_2 = -5/2 + x_3 + x_4, x_1 = 3 - x_2 - x_4$$

Choosing any value of  $x_4 \in \mathbb{R}$ , we find a solution of the linear combination problem.

## Definition

Let  $V$  be a vector space and  $S$  a nonempty subset of  $V$ . We call  $\text{span}(S)$  the set of all vectors in  $V$  that can be written as a linear combination of vectors in  $S$ .

**Exercise:** Let  $S = \{(1, 0, 0), (0, 1, 0), (2, 1, 0)\}$ . What is  $\text{span}(S)$ ?

# Theorem

## Theorem

The span of any subset  $S$  of a vector space  $V$  is a subspace of  $V$ .

*Proof*



# Theorem

## Theorem

The span of any subset  $S$  of a vector space  $V$  is a subspace of  $V$ .

*Proof*

Solution: need to show that  $\text{span } S$  is closed under the operations of addition and scalar multiplication.

# Examples

**Exercise:** Does  $S = \{(1, 0, 0), (0, 1, 0), (0, 0, 1)\}$  span  $\mathbb{R}^3$ ?

# Examples

**Exercise:** Does  $S = \{(1, 2), (2, 1)\}$  span  $\mathbb{R}^2$ ?

# Examples

**Exercise:** Does  $S = \{(1, 2), (2, 1)\}$  span  $\mathbb{R}^2$ ?

To solve this problem, we need to verify that, for any  $(a, b) \in \mathbb{R}^2$  we can solve

$$x_1(1, 2) + x_2(2, 1) = (a, b)$$

vector equation

This gives the system of linear equations:

generic

$$\begin{cases} x_1 + 2x_2 = a \\ 2x_1 + x_2 = b \end{cases}$$

# Examples

**Exercise:** Does  $S = \{(1, 2), (2, 1)\}$  span  $\mathbb{R}^2$ ?

To solve this problem, we need to verify that, for any  $(a, b) \in \mathbb{R}^2$  we can solve

$$x_1(1, 2) + x_2(2, 1) = (a, b)$$

This gives the system of linear equations:

$$x_1 + 2x_2 = a$$

$$2x_1 + x_2 = b$$

This is equivalent to the row-reduced system

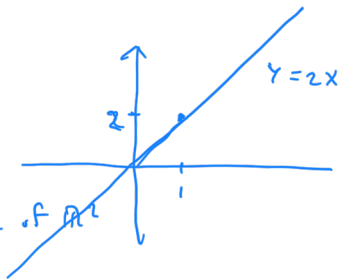
$$\begin{cases} x_1 + 2x_2 = a \\ -3x_2 = b - 2a \end{cases}$$

showing that the system has always a solution.

# Examples

**Exercise:** Does  $S = \{(1, 2)\}$  span  $\mathbb{R}^2$ ?

*span(S) is a subspace of  $\mathbb{R}^2$*



Using the argument above, we can see that not every element in  $\mathbb{R}^2$  can be written as a linear combination of  $S$ .

**Exercise:** Which  $(a, b, c)$  are in  $\text{span}(\{(1, 1, 2), (0, 1, 1), (2, 1, 3)\})$ ?

# Examples

**Exercise:** Which  $(a, b, c)$  are in  $\text{span}(\{(1, 1, 2), (0, 1, 1), (2, 1, 3)\})$ ?

To solve this problem, we can examine the linear system

$$x_1(1, 1, 2) + x_2(0, 1, 1) + x_3(2, 1, 3) = (a, b, c)$$

which is associated with the augmented matrix

$$\left( \begin{array}{ccc|c} 1 & 0 & 2 & a \\ 1 & 1 & 1 & b \\ 2 & 1 & 3 & c \end{array} \right) \rightarrow \left( \begin{array}{ccc|c} 1 & 0 & 2 & a \\ 0 & 1 & -1 & b-a \\ 0 & 1 & 1 & c-2a \end{array} \right) \rightarrow \left( \begin{array}{ccc|c} 1 & 0 & 2 & a \\ 0 & 1 & -1 & b-a \\ 0 & 0 & 2 & c-b-a \end{array} \right)$$

Since the linear system can be solved for any  $(a, b, c) \in \mathbb{R}^3$ , then  $\text{span}(\{(1, 1, 2), (0, 1, 1), (2, 1, 3)\}) = \mathbb{R}^3$



# Linear Dependence and Linear Independence

Section 1.5

# Linear dependence

**Goal:** given a vector space  $V$ , we want to find the SMALLEST set  $S \subset V$  such that  $\text{span}(S) = V$ .

# Linear dependence

## Definition

A subset  $S$  of a vector space  $V$  is called linearly dependent if there exist a finite number of vectors  $\mathbf{u}_1, \dots, \mathbf{u}_n \in S$  and scalars  $a_1, \dots, a_n$ , NOT ALL EQUAL TO ZERO, such that

$$a_1\mathbf{u}_1 + \dots + a_n\mathbf{u}_n = \mathbf{0}.$$

If the vectors in  $S$  are not linearly dependent, we say that they are linearly independent.

**Remark:** Linear dependence is equivalent to say that at least one vector in  $S$  can be written as a linear combinations of the others. Linear independence on the other hand implies that no vector in the set can be expressed as a linear combination of the others

## Example

Let  $S = \{(1, 1, 1), (2, 2, 2)\}$ .

$S$  linearly dependent since

$$2(1, 1, 1) = (2, 2, 2)$$

equivalently

$$2(1, 1, 1) - (2, 2, 2) = 0$$

Let  $R = \{(2, 0, 0), (0, 1, 0)\}$ .

$R$  linearly independent since

$$a_1(2, 0, 0) + a_2(0, 1, 0) = (2a_1, a_2, 0) = 0$$

implies that  $a_1 = a_2 = 0$ , showing that  $R$  is not linearly dependent.

## Remark

If  $S = \{\mathbf{u}_1, \mathbf{u}_2\} \subset V$ , then  $S$  is linearly dependent if and only if there exists a constant  $\alpha \neq 0$  such that  $\mathbf{u}_1 = \alpha\mathbf{u}_2$ .

If  $S$  consists of more than two vectors, verifying linear dependence or independence requires more work.

## Example

Let  $S = \{(1, 1, 1), (-2, 0, -3), (3, 1, 4)\}$ .

$S$  linearly dependent since

$$(3, 1, 4) = (1, 1, 1) - (-2, 0, -3)$$

Let  $R = \{(2, 0, 0), (0, 1, 0), (0, 0, 4)\}$ .

$R$  linearly independent since

$$a_1(2, 0, 0) + a_2(0, 1, 0) + a_3(0, 0, 4) = (2a_1, a_2, 4a_3) = 0$$

implies that  $a_1 = a_2 = a_3 = 0$ , showing that  $R$  is not linearly dependent.

## Remark

Let  $S$  be a subset of a vector space  $V$  and let  $\mathbf{u}_1, \dots, \mathbf{u}_n \in S$ . These vectors are *linearly independent* if and only if

$$a_1\mathbf{u}_1 + \dots + a_n\mathbf{u}_n = \mathbf{0} \Rightarrow a_1, \dots, a_n = 0.$$

# Theorem

## Theorem

Let  $V$  be a vector space. If  $S_1 \subseteq S_2$  and  $S_1$  is linearly dependent, then  $S_2$  is linearly dependent.

*Proof*

It follows from the definition.



## Another theorem

### Theorem

Let  $S$  be a linearly independent subset of  $V$ . Let  $\mathbf{v} \in V \setminus S$ . Then  $S \cup \{\mathbf{v}\}$  is linearly dependent if and only if  $\mathbf{v} \in \text{span}(S)$ .

## Another theorem

### Theorem

Let  $S$  be a linearly independent subset of  $V$ . Let  $\mathbf{v} \in V \setminus S$ . Then  $S \cup \{\mathbf{v}\}$  is linearly dependent if and only if  $\mathbf{v} \in \text{span}(S)$ .

Let  $S = \{u_1, \dots, u_m\}$

*Proof for ( $\Leftarrow$ ).* If  $v \in \text{span}(S)$ , then  $v$  is a linear combination of elements in  $\{u_1, \dots, u_m\}$ , hence  $\{v, u_1, \dots, u_m\}$  is linearly dependent.

*Proof for ( $\Rightarrow$ ).* If  $S \cup \{v\}$  is linearly dependent, then there are constants  $c_1, \dots, c_m, c_{m+1}$  not all zero such that

$$c_1 u_1 + \dots + c_m u_m + c_{m+1} v = 0$$

In this sum, it must be  $c_{m+1} \neq 0$ . If not, the rest of the sum would be 0 with  $c_1, \dots, c_m$  not all zero, violating the hypothesis that  $S$  is linearly independent. Since  $c_{m+1} \neq 0$ , we can then write

$$v = -\frac{1}{c_{m+1}}(c_1 u_1 + \dots + c_m u_m)$$

showing that  $v \in \text{span}(S)$ .

# Linear dependence and homogeneous systems of equations

A homogeneous system of equations like

3 eq.s  
3 unknowns

$$\begin{aligned}x_1 + 2x_2 - 3x_3 &= 0 \\3x_1 + 5x_2 + 9x_3 &= 0 \\5x_1 + 9x_2 + 3x_3 &= 0\end{aligned}$$

can be written as a vector equation

$$x_1(1, 3, 5) + x_2(2, 5, 9) + x_3(-3, 9, 3) = (0, 0, 0)$$

**Fact.** The vectors  $(1, 3, 5)$ ,  $(2, 5, 9)$ ,  $(-3, 9, 3)$  are linearly independent if and only if the trivial solution  $x_1 = x_2 = x_3 = 0$  is the only solution of the homogeneous system.

# Linear dependence and homogeneous systems of equations

The last observation implies that we can check the linear dependence or independence of a set of vectors by examining the solution set of the associated homogeneous system.

We examine the augmented matrix of the system

$$\left( \begin{array}{ccc|c} 1 & 2 & -3 & 0 \\ 3 & 5 & 9 & 0 \\ 5 & 9 & 3 & 0 \end{array} \right) \rightarrow \left( \begin{array}{ccc|c} 1 & 2 & -3 & 0 \\ 0 & -1 & 18 & 0 \\ 0 & -1 & 18 & 0 \end{array} \right) \rightarrow \left( \begin{array}{ccc|c} 1 & 2 & -3 & 0 \\ 0 & -1 & 18 & 0 \\ 0 & 0 & 0 & 0 \end{array} \right)$$

Since the row-reduced system has a row of zeros, then the homogeneous system has non-trivial solutions and, thus, the vectors  $(1, 3, 5), (2, 5, 9), (-3, 9, 3)$  are **linearly dependent**.

## Fact

Each linear dependence relation among the columns of the matrix  $A$  corresponds to a nontrivial solution to  $Ax = 0$ .

The columns of a matrix  $A$  are linearly independent if and only if the equation  $Ax = 0$  has only the trivial solution.

# Facts about linearly dependent/independent sets

- If a set  $S$  in a vector space  $V$  contains the  $0$  vector, then it is linearly dependent (since the linear dependence condition is always satisfied).
- The set of a single element  $\{\mathbf{v}\}$  is linearly independent if and only if  $\mathbf{v} \neq \mathbf{0}$  (it follows from the last property).
- If a set  $S$  in the vector space  $\mathbb{R}^n$  consists of  $m > n$  vectors, then  $S$  is linearly dependent. It follows from the observation that an homogeneous linear system  $Ax = 0$  where the matrix  $A$  has more columns than rows has always nontrivial solutions.

# Bases and Dimension

## Section 1.6

## Definition

Let  $V$  be a vector space. A (vector) *basis*  $B$  of  $V$  is a linearly independent subset of  $V$  which satisfies  $\text{span}(B) = V$ .

$B \subset V$       basis

$\Leftrightarrow$

- $B$  is l.i.
- $\text{span}(B) = V$



## Example

Let  $S = \{(1, 0), (1, 1), (2, 3)\}$ . Is  $S$  a basis for  $\mathbb{R}^2$ ?

## Example

Let  $S = \{(1, 0), (1, 1), (2, 3)\}$ . Is  $S$  a basis for  $\mathbb{R}^2$ ?

Solution. *No, since  $S$  contains 3 vectors in  $\mathbb{R}^2$ , then the set is linearly dependent.*

## Example

Let  $S = \{(1, 0), (0, 1), (0, 2)\}$ . Is  $S$  a basis for  $\mathbb{R}^2$ ?

## Example

Let  $S = \{(1, 0), (0, 1), (0, 2)\}$ . Is  $S$  a basis for  $\mathbb{R}^2$ ?

*Solution. No, since  $A$  contains 3 vectors in  $\mathbb{R}^2$ , then the set is linearly dependent.*

## Example

Let  $S = \{(1, 0)\}$ . Is  $S$  a basis for  $\mathbb{R}^2$ ?

## Example

Let  $S = \{(1, 0)\}$ . Is  $S$  a basis for  $\mathbb{R}^2$ ?

Solution. *No, because the set does not span  $S$ .*

## Example

Let  $S = \{(1, 0), (1, 1)\}$ . Is  $S$  a basis for  $\mathbb{R}^2$ ?

Need to check

- $\text{span}(S) = \mathbb{R}^2$
- $S$  is l.i.

## Example

Let  $S = \{(1, 0), (1, 1)\}$ . Is  $S$  a basis for  $\mathbb{R}^2$ ?

Solution. Yes, because the set is linearly independent and does span  $S$ .

This can be seen by observing the matrix

$$\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$$

The columns are linearly independent since the matrix is reduced in row-echelon form.

The vectors span  $\mathbb{R}^2$  because the matrix  $Ax = 0$  has only the trivial solution.

To check they span  $\mathbb{R}^2$ , need to check

$$Ax = \begin{pmatrix} a \\ b \end{pmatrix} \text{ can be solved} \\ \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} x = \begin{pmatrix} a \\ b \end{pmatrix}$$



## Example

Let  $S = \{(1, 0, 0), (0, 1, 0), (0, 0, 1)\}$ . Is  $S$  a basis for  $\mathbb{R}^3$ ?

## Example

Let  $S = \{(1, 0, 0), (0, 1, 0), (0, 0, 1)\}$ . Is  $S$  a basis for  $\mathbb{R}^3$ ?

Solution. *Yes, because the set is linearly independent and does span  $S$ .*

This basis is called the **canonical basis** of  $\mathbb{R}^3$ .

Similarly we define the **canonical basis** of  $\mathbb{R}^n$ , for any  $n$ .

# Theorem for bases

## Theorem

Let  $V$  be a vector space. Let  $B = \{\mathbf{u}_1, \dots, \mathbf{u}_n\}$  be a subset of  $V$ . Then

$B$  is a basis of  $V \Leftrightarrow \forall \mathbf{v} \in V : \exists! a_1, \dots, a_n \in F, \mathbf{v} = a_1 \mathbf{u}_1 + \dots + a_n \mathbf{u}_n$ .

*Proof for  $\Rightarrow$*  If  $B$  is a basis, for every  $v \in V$ , there are  $a_1, \dots, a_n$  such that  $v = a_1 u_1 + \dots + a_n u_n$  since  $B$  spans  $V$ . To prove uniqueness, suppose there is another expansion  $v = b_1 u_1 + \dots + b_n u_n$ . Then  $(a_1 - b_1)u_1 + \dots + (a_n - b_n)u_n = 0$ . By the l.i., it must be  $(a_i - b_i) = 0$  for all coefficients. This shows that the expansion must be unique.

*Proof for  $\Leftarrow$*  If for every  $v \in V$ , there is a unique sequence  $a_1, \dots, a_n$  such that  $v = a_1 u_1 + \dots + a_n u_n$ , then  $B$  spans  $V$ . To show that  $B$  is l.i., consider the expansion of the 0 vector, that can be expressed by taking  $a_1 = \dots = a_n = 0$ . By the uniqueness, this is the only expansion of the 0 vector. This also implies that  $B$  is l.i.

# Theorem

## Theorem

Let  $V$  be a vector space. Let  $S$  be a finite subset of  $V$  with  $\text{span}(S) = V$ . Then there exists a subset of  $S$  which is a basis for  $V$ . In particular,  $V$  has a finite basis.

*Proof*

## Exercise

Let  $S = \{(1, 0), (1, 1), (2, 3)\}$ . We have  $\mathbb{R}^2 = \text{span}(S)$  but  $S$  is not a basis. Find a subset of  $S$  which is a basis for  $\mathbb{R}^2$ .

## Exercise

Let  $S = \{(1, 0), (0, 1), (0, 2)\}$ . We have  $\mathbb{R}^2 = \text{span}(S)$  but  $S$  is not a basis. Find a subset of  $S$  which is a basis for  $\mathbb{R}^2$ .

## Exercise

Let  $S = \{(-1, -1, -1), (5, 5, 5), (0, 2, 2), (0, 0, 3), (0, 2, 5)\}$ . Is  $S$  a basis for  $\mathbb{R}^3$ ? If not, can you find a subset of  $S$  which is a basis for  $\mathbb{R}^3$ ?

# Replacement Theorem

**Question:** given a vector space  $V$ , which is the SMALLEST set  $S \subset V$  such that  $\text{span}(S) = V$ ?

## Theorem (Replacement Theorem)

Let  $V$  be a vector space. Let  $V = \text{span}(G)$ , where  $G$  is a subset of  $V$  of cardinality  $n$ . Let  $L$  be a linearly independent subset of  $V$  of cardinality  $m$ . Then the following holds.

- 1  $m \leq n$
- 2 there exists a subset  $H \subseteq G$  of cardinality  $n - m$  such that  $\text{span}(L \cup H) = V$



Let's consider two subsets of vector space  $V$ :

- $G = \{\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3, \mathbf{u}_4, \mathbf{u}_5\}$  (cardinality  $n = 5$ ), such that we have  $V = \text{span}(G)$ ,
- $L = \{\mathbf{v}_1, \mathbf{v}_2\}$  (cardinality  $m = 2$ ) linearly independent.

Replacement theorem tells you that there are 2 vectors in  $G$  that can be replaced with the two vectors in  $L$  and the new set obtained by this replacement still spans  $V$ .

## Corollary 1

Let  $V$  be a vector space with a finite basis  $B = \{u_1, \dots, u_n\}$ . Then any set containing more than  $n$  vectors is linearly dependent.

## Corollary 1

Let  $V$  be a vector space with a finite basis  $B = \{u_1, \dots, u_n\}$ . Then any set containing more than  $n$  vectors is linearly dependent.

*Proof* Suppose  $S$  is a set with  $p > n$  vectors. By the Replacement Theorem,  $S$  cannot be a l.i. subset of  $V$ .

## Corollary 2

Let  $V$  be a vector space with a finite basis. Then all bases contain the same number of elements.

## Corollary 2

Let  $V$  be a vector space with a finite basis. Then all bases contain the same number of elements.

*Proof.* Suppose that  $B_1$  and  $B_2$  are two bases of  $V$ .

By the definition of basis, both sets are l.i.

By Corollary 1,  $B_1$  cannot contain more elements of  $B_2$ , otherwise it would be linearly dependent.

Similarly, by Corollary 1,  $B_2$  cannot contain more elements of  $B_1$ , otherwise it would be linearly dependent.

Thus,  $B_1$  and  $B_2$  have the same number of elements.

# Dimension of $V$

## Definition

A vector space is called finite dimensional if there exists a basis consisting of finitely many vectors.

## Definition

The unique cardinality of a basis of a finite dimensional vector space is called the dimension of  $V$ , denoted  $\dim(V)$ .

# Examples

①  $\dim(\mathbb{R}^n) = n$

PROOF I will show the existence of a basis of  $n$  elements. This is the CANONICAL BASIS

$$B = \{(1, 0, 0, \dots), (0, 1, 0, \dots), \dots\}$$

It is easy to show that  $B$  is l.i., and  $\text{span}(B) = \mathbb{R}^n$

②  $\dim(M_{n \times m}) = n \times m$

$$M_{n \times m} = \begin{pmatrix} a_{11} & \dots & a_{1m} \\ a_{21} & & \\ \vdots & & \\ a_{n1} & & a_{nm} \end{pmatrix}$$

$n$  rows,  $m$  columns

→ CANONICAL BASIS

$$e_{11} = \begin{pmatrix} 1 & 0 \\ 0 & 0 \\ \vdots & \vdots \end{pmatrix}$$

$$e_{21} = \begin{pmatrix} 0 & 0 \\ 1 & 0 \\ 0 & 0 \dots \end{pmatrix}$$

# Examples

Let  $P_n$  be the vector space of the polynomials of degree  $n$ .  $\dim(P_n) = n+1$

$$e_0(x) = 1$$

$$e_1(x) = x$$

$$e_2(x) = x^2$$

$\vdots$

$$e_n(x) = x^n$$

$$\text{Any } p(x) = a_0 + a_1x + \dots + a_nx^n$$

$$= a_0e_0(x) + \dots + a_n e_n(x)$$

$B = \{e_0, e_1, \dots, e_n\}$  spans  $P_n$

Is  $B$  l.i.?

$$a_0 + a_1x + \dots + a_nx^n = 0 \quad \forall x \Rightarrow a_0 = a_1 = \dots = a_n = 0$$

$B$  is l.i.



# Corollaries to replacement theorem

## Corollary 2

Let  $S \subset V$ . If  $V = \text{span}(S)$  and  $\#S = \dim(V)$ , then  $S$  is a basis.

*Proof*

Example  $S = \{(1,1), (1,0)\} \subset \mathbb{R}^2$

It is sufficient to show  $\text{span}(S) = \mathbb{R}^2$

then  $S$  is automatically l.i.

# Corollaries to replacement theorem

## Corollary 3

Let  $S \subset V$ . If  $S$  is linearly independent and  $\#S = \dim(V)$ , then  $S$  is a basis.

Proof  $\textcircled{V}$   $\begin{matrix} \dim V = n \\ S = (e_1, \dots, e_n) \text{ l.i.} \end{matrix} \left| \begin{array}{l} \text{Ex: } S = \{(1,1), (0,1)\} \\ \text{Since } S \text{ is l.i. \& \dim(V)=2,} \\ \text{then } S \text{ is a basis} \end{array} \right.$

Let  $\dim V = n$

$\therefore$  Any set of  $n+1$  vectors is l.i.

Any vector  $v \in V$  is a lin. comb of vectors in  $S$

$$\text{hence } \text{span}(S) = V$$

# Dimension of subspaces

## Theorem

Let  $V$  be a vector space. Let  $W$  be a subspace of  $V$ . Assume  $\dim V$  is finite. Then  $\dim W \leq \dim V$  and equality holds if and only if  $V = W$ .

## *Proof*

Immediate from Replacement Theorem.

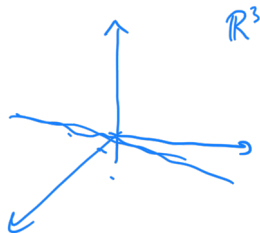


$$\dim V = n$$

$$\dim W \leq n$$

# Example

Let  $W = \{(a_1, a_2, a_3) \mid a_1 + a_3 = 0 \text{ and } a_1 + a_2 - a_3 = 0\} \subset \mathbb{R}^3$ . Find a basis for and the dimension of subspace  $W$ .



$$\begin{cases} a_1 + a_3 = 0 \\ a_1 + a_2 - a_3 = 0 \end{cases}$$

$$2a_1 + a_2 = 0$$

$$2a_1 = -a_2$$

$$a_1 = -a_3$$

$$W = \left\{ (a_1, -2a_1, -a_1) : a_1 \in \mathbb{R} \right\}$$

$$= \text{span} \left\{ (1, -2, -1) \right\}$$

$$\dim(W) = 1$$

## Example

Let  $W = \{(a_1, a_2, a_3, a_4, a_5) \mid a_1 + a_3 + a_5 = 0 \text{ and } a_2 = a_4\} \subset \mathbb{R}^5$ . Find a basis for and the dimension of subspace  $W$ .

$$a_1 + a_3 + a_5 = 0 \rightarrow a_5 = -a_1 - a_3$$

$$a_2 = a_4$$

$$W = \{(a_1, a_2, a_3, a_2, -a_1 - a_3) : a_1, a_2, a_3 \in \mathbb{R}\}$$
$$= \text{span} \left\{ (1, 0, 0, 0, -1), (0, 1, 0, 1, 0), (0, 0, 1, 0, -1) \right\}$$

$$\dim W = 3$$